

CIP ICT-PSP Panel lead Interim Evaluation

Competitiveness and Innovation Programme
Information and Communication Technologies -
Policy Support Programme

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European Commission
Directorate-General for Economic and Financial Affairs

Contents

1. Description of the programme
2. Evaluation Strategy
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5. Follow up

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Programme Objectives

- Support the European Union policy objectives on Information Society
- Support innovation policy objectives
- Focus on areas that need financial intervention

Resources

- 750.000.000 € for 7 calls within 07-13

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Programme Instruments

	Participants	Focus	Duration	Funding
Pilot A	Minimum 6 national administrations	Interoperability between member states solutions	36 months	5-10 M€
Pilot B	4 Legal entities	Implementation of an ICT service	24-36 months	2-2'5 M€
Thematic Networks	7 Legal entities	Bring together relevant stakeholders	18-36 months	300-500 k€
Best Practice Networks	7 Legal entities	Adoption of standards	18-36 months	3-5 M€

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Evaluation strategy

The Legal Basis:

- "The interim evaluation of the Framework Programme shall be completed by 31 December 2009 and the final evaluation by 31 December 2011"

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Evaluation strategy

- Project Level
 - Selection of proposals
 - Monitoring of the selection process
 - Follow up of each project
- Theme level
 - Impact Observatory
- Programme level
 - Interim Evaluation
 - Final Evaluation
 - Ex-post Evaluation

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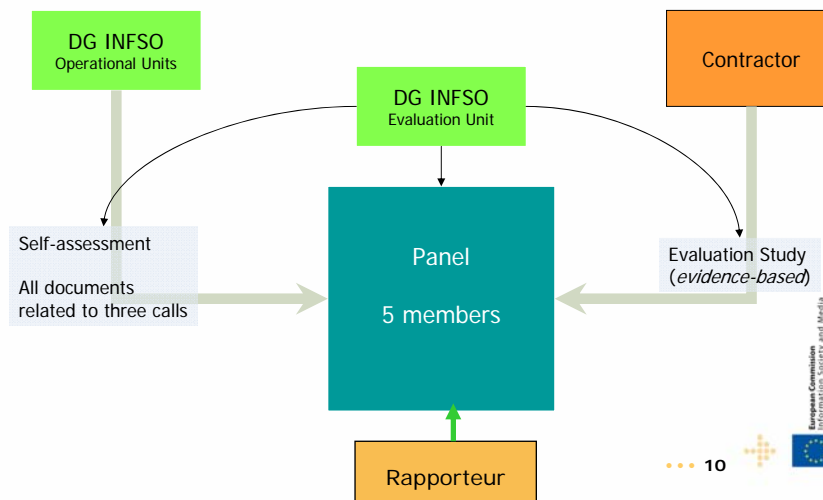
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Interim Evaluation



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Why having a panel?

To improve the quality of the evaluation

- Combine the rigour of the evidence collection and the independence of an expert panel
- An external view and a different approach to the programme

Increase credibility

- Consultants and internal evaluators may be criticized for having a conflict of interest
- It allows bigger coverage by media

Better support to decision making

- The panel is focussed on strategy support, not in collection of evidence



Evaluation questions

Relevance, coherence and synergies

- Have the themes and projects selected been well chosen to contribute to strategic objectives ?

Effectiveness

- Are the themes and projects funded ensuring that objectives are met?

Efficiency

- Is pursued in a cost-effective manner?

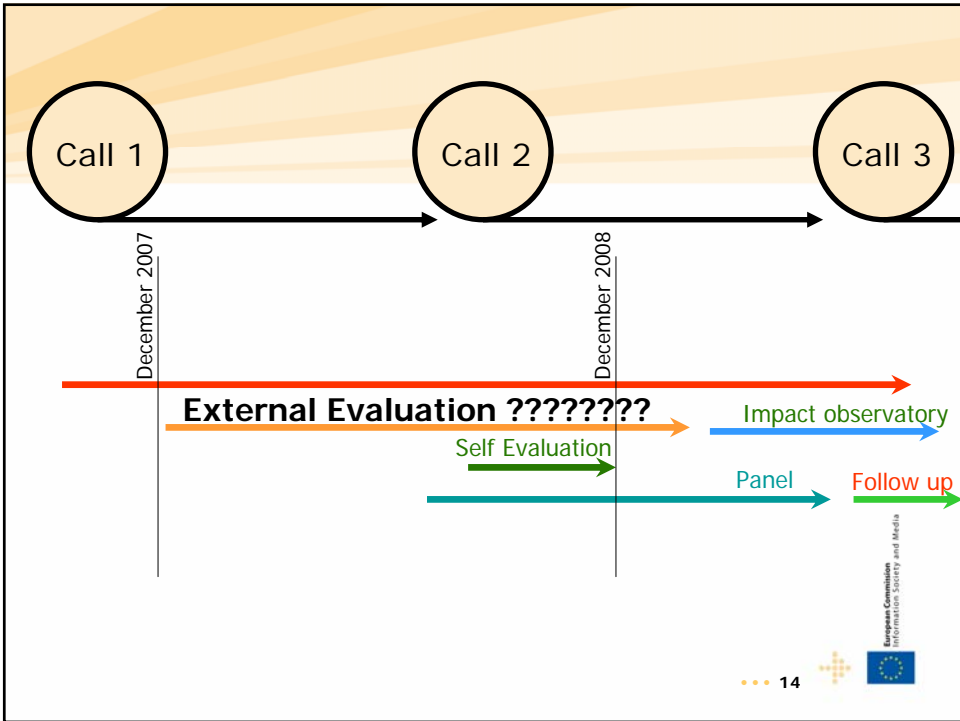
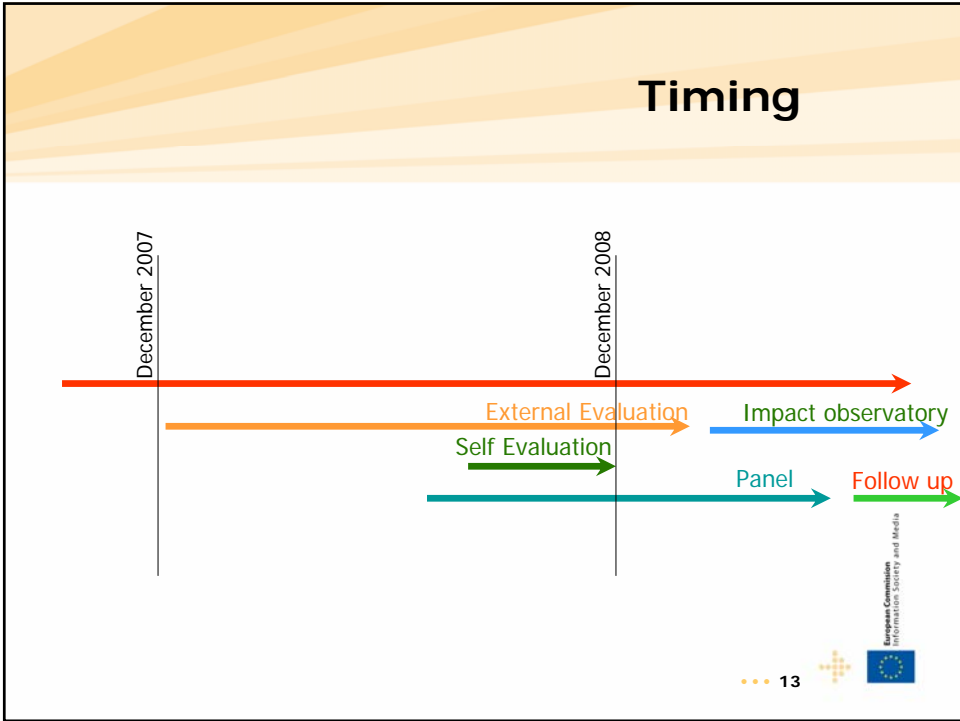
Utility

- Have the activities funded corresponded with the stated objectives?

Sustainability

- Are the foreseen effects likely to continue





Setting the evaluation

Focus on four main issues:

- Management of the programme
- Capacity to attract key stakeholders to the projects
- First effects observed on the participants
- Likelihood of generating the expected impacts



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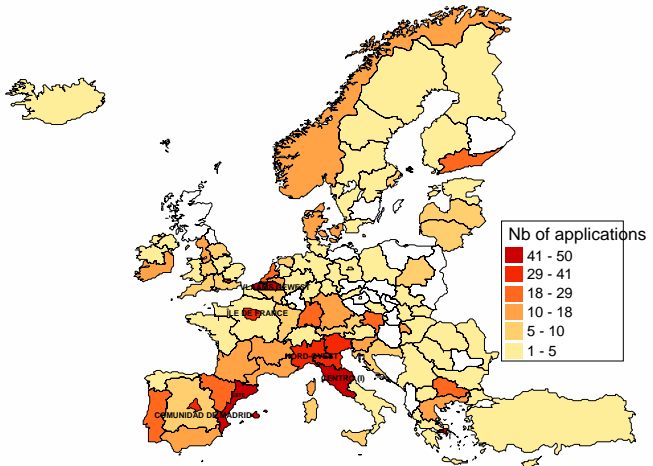
Planning

Date	Phase	Work of the panel
September 2008	Information	Presentation of the CIP PSP and the evaluation activities. Decision on work plane and time Discussion of the Evaluation Questions
November 2008	Information	Analysis of the evidence base and self-assessment
January 2009	Information/Writing Discussion	Analysis, discussion and first recommendations
March 2009	Writing Discussion and recommendations	Draft final report Discussion on the feasibility of the recommendations
May 2009	Recommendations Conclusions	Final Report



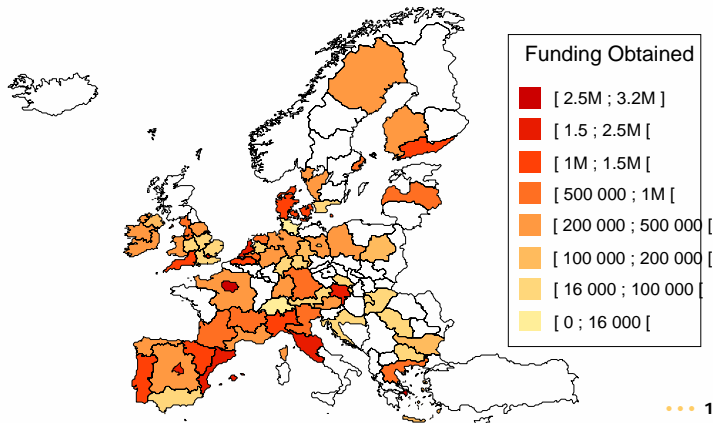
Data analysis

Number of applications by European Region



Data analysis

Funds obtained by regional organisations



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Panel recommendations

- Add indicators at project level (1)
- Prioritize and concentrate resources as to ensure critical mass within each theme (2,3)
- Increase links between thematic networks and projects in CIP or other programmes (4)
- Increase the links between CIP ICT-PSP and structural funds (5)
- Increase the budget after 2013 (6)

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Follow up

1. Proposals will be requested to introduce indicators
2. Annual work-programmes will be more focussed
3. Each theme will be provided with critical mass
4. Thematic networks will stay as they are, but expansion will be facilitated
5. Links with structural funds will be reinforced (but...)
6. Bigger budget will be requested



Recommendation -> Response & Action, Current Status, Changes required, Responsible, Target date

Panel Recommendations	Response	Action	Current State of play	Changes required to implement the recommendation	Resp.	Target Date
1 Applicants to propose indicators to monitor their impact on ICT- PSP objectives.	The recommendation is welcome.	Render indicators mandatory in the calls	In the work programme measures of success are required, but not specifically indicators, not in a consistent way.	Include the request in the annual WP Make the absence of indicators an exclusion criterion	C2 C3	Dec 2009 May 2010
Contracts to record specific agreements on tracking and reporting	The recommendation is welcome.	POs to monitor in the annual reviews	There are no indicators	Modify the template for annual reviews.	C5	April 2011
.	Additionally, getting common indicators would also be useful to monitor the programme.	Include the identification of common indicators within a future study.	Tracking indicators have not been identified yet.	Launch the request within a study Validate the proposals within a workshop in DG INFSO	C3	Talk: by April 2010 Workshop by March 2011
2 Limit the number of themes and objectives.	The recommendation is accepted	WP10 to limit the number of themes and objectives Monitor future WP	WP09 included 8 themes WP09 included 23 objectives	WP10 will only include 6 themes WP10 will reduce the number of objectives	C2 C3	Dec 2009 Annually
3 Achieve critical mass within each theme	Uncluear how to measure critical mass	Enhance transparency: communicate how priorities are established. Verify concentration of resources on relevant priorities.	Process lead by C2 with periodical meetings among the members of the correspondents group for the WP. CAB plays an important role setting the priorities.	Increase the number of pilots in those areas identified as priority. Monitor and record the trend.	C2 C3	Dec 2009 Annually
4 Thematic Networks to link with Pilots and projects from other programmes	This recommendation is partially accepted. The linking role of TN is important. However, TN are also important for consensus building and experience sharing.	Request better elaboration of the linking components (or reasons for their absence) in all proposals. Consider twinning projects to enhance benefits from large pilots.	Possible confusion regarding TN.	WP09 to request links to projects in TN proposals (or reasons for their absence) (except explanatory ones). In relevant calls make the absence of links an exclusion criterion	C2,C3 C5	Dec 2009 May 2010
5 Establish relationships between projects with related objectives within PSP, Regional and Social Funds.	This is a challenging recommendation. Some efforts will be done to increase the linkage if it is out of DG INFSO direct control. Some efforts will be undertaken to create and/or enhance the links	1 In relevant calls project proposals to include actions towards regions 2 DG INFSO to present Pilots at relevant regional events 3 Seek to better exploit synergies between different programmes 4 Consider twinning activities (financed either from CIP or from SF) to identify new pilots and start a key team of MS.	No particular emphasis No done yet	WP09 to request actions. Initiatives towards regions to be established as one of the selection criteria Pilot participants to identify events and present CIP projects	C2,C3 C5 POs	Dec 2009 May 2010 June 2010 Annually
Review the limited capacity of NCP as to facilitate contacts and dissemination.	This recommendation is only partially acceptable because (elaborate)	Allocate budget for NCP. Request MS to concentrate different programmes NCPs	Different programmes have different NCPs. MS are in charge of the NCPs. CIP does not pay NCPs.	Invite MS to concentrate NCPs. Provide training for NCPs. Allocate resources to support NCPs for different programmes to liaise among them.	C2 ? / C5 ?	Dec 2011 ? Dec 2013 ?
6 More budget	The recommendation is accepted	Justify and request increased budget for any CIP follow up.	Ambitious objectives not enough funding	Develop the evidence of Pilots' effectiveness. Prepare and implement dissemination communication plan for such evidence.	C2/ POs	Dec 2009

Thanks

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